

Substitute for form 1449/PTO  
(Revised 04/2003)

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 2

Complete Known

Application Number 10/38  
Filing Date March 21, 2003  
First Named Inventor Woo-chul Moon  
Group Art Unit To be assigned  
Examiner Name To be assigned  
Attorney Docket Number 047559/261245

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No.	Document Number Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages of Relevant Figures Appear
<u>TSB</u>	<u>1</u>	<u>US-5,908,635</u>	<u>06-01-1999</u>	<u>Thierry</u>	
<u>TSB</u>	<u>2</u>	<u>US-5,994,317</u>	<u>11-30-1999</u>	<u>Wheeler</u>	
<u>TSB</u>	<u>3</u>	<u>US-6,056,938</u>	<u>05-02-2000</u>	<u>Unger, et al.</u>	
<u>TSB</u>	<u>4</u>	<u>US-6,110,490</u>	<u>08-29-2000</u>	<u>Thierry</u>	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials	Cite No.	Foreign Patent Document Country Code - Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	English Language Translation Attached
<u>TSB</u>	<u>5</u>	<u>WO 93/05162</u>	<u>03-18-1993</u>	<u>Hodges, et al.</u>		

Examiner  
Signature

TSB

Date 1/7/07  
Considered

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Substitute for form 1449/PTO (Revised 04/2003)		Complete if known	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)		Application Number	10/381,227- 10/381227
		Filing Date	March 21, 2003
		First Named Inventor	Woo-chu Moon
		Group Art Unit	To be assigned
		Examiner Name	To be assigned
Sheet 2 of 2	Attorney Docket Number	047559/261245	
<b>OTHER DOCUMENTS</b>			
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	English Language Translation Attached
7EB	6	YAMUNA KRISHNAN GHOSH, SANDHYA S. VISWESWARIAH, and SANTANU BHATTACHARYA, Nature of Linkage Between the Cationic Headgroup and Cholesteryl Skeleton Controls Gene Transfection Efficiency, FEBS Letters 473 (2000), April 14, 2000, pp. 341-44	
7EB	7	SANTANU BHATTACHARYA and YAMUNA KRISHNAN-GHOSH, Vesicle Formation from Oligo (oxyethylene)-Bearing Cholesteryl Amphiphiles: Site-Selective Effects of Oxyethylene Units on the Membrane Order and Thickness, Langmuir 2001, Vol. 17, June 29, 2000, pp. 2067-75	
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7EB	9	ELIZABETH G. NABEL, GREGORY PLAUTZ, and GARY J. NABEL, Site-Specific Gene Expression in Vivo by Direct Gene Transfer into the Arterial Wall, Science, Vol. 249, September 14, 1990, pp. 1285-88	
7EB	10	PHILIP L. FELGNER, THOMAS R. GADEK, MARILYN HOLM, RICHARD ROMAN, HARDY W. CHAN, MICHAEL WENZ, JEFFREY P. NORTHROP, GORDON M. RINGOLD, and MARK DANIELSEN, Lipofection: A Highly Efficient, Lipid-Mediated DNA-Transfection Procedure, Proc. Natl. Acad. Sci. USA, Vol. 84, November 1987, pp. 7413-17	
7EB	11	CHEN-YEN WANG and LEAF HUANG, pH-Sensitive Immunoliposomes Mediate Target-Cell-Specific Delivery and Controlled Expression of a Foreign Gene in Mouse, Proc. Natl. Acad. Sci. USA, Vol. 84, November 1987, pp. 7851-55	
7EB	12	Various Book Reviews, Nature, Vol. 338, March 30, 1989, pp. 337-38	
7EB	13	PHILIP L. FELGNER, Particulate Systems and Polymers for In Vitro and In Vivo Delivery of Polynucleotides, Advanced Drug Delivery Reviews, Vol. 5, May 5, 1990, pp. 163-87	

Examiner Signature		Date Considered	1/7/07
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